

General information about company

Scrip code	504673
Name of the company	Universal Prime Aluminium Limited
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Date of Report (For Prelisting / Allotment)	31-03-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)



Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	9	3823778			3823778	47.99	3823778		3823778	47.99
(B)	Public	28440	4143665			4143665	52.01	4143665		4143665	52.01
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	28449	7967443			7967443		7967443		7967443	100



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				47.99					3823778
(B)	Public				52.01					1711013
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									5534791



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	1654163			1654163	20.76	1654163		1654163	20.76
(d)	Any Other (specify)	6	2169615			2169615	27.23	2169615		2169615	27.23
Sub-Total (A) (1)		9	3823778			3823778	47.99	3823778		3823778	47.99
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		9	3823778			3823778	47.99	3823778		3823778	47.99
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	123800			123800	1.55	123800		123800	1.55
(f)	Financial Institutions/ Banks	2	2594			2594	0.03	2594		2594	0.03
Sub-Total (B) (1)		4	126394			126394	1.59	126394		126394	1.59
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	28331	3144370			3144370	39.47	3144370		3144370	39.47
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	11	630813			630813	7.92	630813		630813	7.92
(b)	NBFCs registered with RBI	1	22920			22920	0.29	22920		22920	0.29
(e)	Any Other (specify)	93	219168			219168	2.75	219168		219168	2.75
Sub-Total (B) (3)		28436	4017271			4017271	50.42	4017271		4017271	50.42
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		28440	4143665			4143665	52.01	4143665		4143665	52.01
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		28449	7967443			7967443	100	7967443		7967443	100
Total (A+B+C)		28449	7967443			7967443		7967443		7967443	100



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				20.76					1654163
(d)				27.23					2169615
Sub-Total (A) (1)				47.99					3823778
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				47.99					3823778
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				1.55					119200
(f)				0.03					1944
Sub-Total (B) (1)				1.59					121144
(3)	Non-institutions								
(a(i))				39.47					896442
(a(ii))				7.92					630813
(b)				0.29					22920
(e)				2.75					39694
Sub-Total (B) (3)				50.42					1589869
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				52.01					1711013
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					5534791
Total (A+B+C)									5534791



Individuals/Hindu undivided Family

Serial No.	1	2	3	
Name of the Shareholders (I)	Prakash Kumar Mohta	Maitreyi Kandoi	Jayantika Jatia	Click here to go back
PAN (II)	AGUPM2260N	AFSPM9902J	AKWPM8544K	Total
No. of fully paid up equity shares held (IV)	1472370	99629	82164	1654163
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1472370	99629	82164	1654163
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.48	1.25	1.03	20.76
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	1472370	99629	82164	1654163
Class eg:y				
Total	1472370	99629	82164	1654163
Total as a % of Total Voting rights	18.48	1.25	1.03	20.76
No. Of Shares Underlying Outstanding convertible securities (X)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	18.48	1.25	1.03	20.76
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized	1472370	99629	82164	1654163



Any Other (specify)

Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	Pratibha Manufacturing & Marketing Ltd.	Pee Bee Steel Industries Limited	Avadh Mercantile Company Ltd.	Universal Autocrafts Pvt. Ltd.	Universal Enterprises Limited	Unique Manufacturing & Marketing Ltd.
PAN (II)	AACCP8287B	AABCP9424B	AACCA1137F	AAACU6272B	AAACU6089Q	AAACU3709R
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	948025	718645	321483	96228	67340	17894
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	948025	718645	321483	96228	67340	17894
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.9	9.02	4.03	1.21	0.85	0.22
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	948025	718645	321483	96228	67340	17894
Class eg: y						
Total	948025	718645	321483	96228	67340	17894
Total as a % of Total Voting rights	11.9	9.02	4.03	1.21	0.85	0.22
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.9	9.02	4.03	1.21	0.85	0.22
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						



No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	948025	718645	321483	96228	67340	17894



Name of the Shareholder(s)	
Age (in years)	
No. of Shares held (b)	
No. of Shares held in dematerialized form (XIV)	
No. of Shares held in physical form (XV)	
Shareholding pattern (XVI)	
Shareholding pattern of the company (XVII)	
Shareholding pattern of the company (XVIII)	
Shareholding pattern of the company (XIX)	
Shareholding pattern of the company (XX)	
Shareholding pattern of the company (XXI)	
Shareholding pattern of the company (XXII)	
Shareholding pattern of the company (XXIII)	
Shareholding pattern of the company (XXIV)	
Shareholding pattern of the company (XXV)	
Shareholding pattern of the company (XXVI)	
Shareholding pattern of the company (XXVII)	
Shareholding pattern of the company (XXVIII)	
Shareholding pattern of the company (XXIX)	
Shareholding pattern of the company (XXX)	
Shareholding pattern of the company (XXXI)	
Shareholding pattern of the company (XXXII)	
Shareholding pattern of the company (XXXIII)	
Shareholding pattern of the company (XXXIV)	
Shareholding pattern of the company (XXXV)	
Shareholding pattern of the company (XXXVI)	
Shareholding pattern of the company (XXXVII)	
Shareholding pattern of the company (XXXVIII)	
Shareholding pattern of the company (XXXIX)	
Shareholding pattern of the company (XL)	
Shareholding pattern of the company (XLI)	
Shareholding pattern of the company (XLII)	
Shareholding pattern of the company (XLIII)	
Shareholding pattern of the company (XLIV)	
Shareholding pattern of the company (XLV)	
Shareholding pattern of the company (XLVI)	
Shareholding pattern of the company (XLVII)	
Shareholding pattern of the company (XLVIII)	
Shareholding pattern of the company (XLIX)	
Shareholding pattern of the company (L)	

Any Other (specify)	
Serial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	2169615
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2169615
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	27.23
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	2169615
Class eg:y	
Total	2169615
Total as a % of Total Voting rights	27.23
No. Of Shares Underlying Outstanding convertible securities (X)	
No. Of Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	27.23
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total	



Mutual Funds

Serial No.	1	
Name of the Shareholders (I)	Canara Robeco Mutual Fund A/C GAD	Click here to go back
PAN (II)	AAATC3901G	Total
No. of fully paid up equity shares held (IV)	119200	119200
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	119200	119200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.5	1.5
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	119200	119200
Class eg:y		
Total	119200	119200
Total as a % of Total Voting rights	1.5	1.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.5	1.5
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	119200	119200



Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	2	3	
Name of the Shareholders (I)	Pratibha Khaitan	Hitesh Ramji Javeri	Bhupendra V Ghandhi	Click here to go back
PAN (II)	AFNPK7949A	AABPJ4691H	ABGPG4629J	Total
No. of fully paid up equity shares held (IV)	175271	100101	99131	374503
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	175271	100101	99131	374503
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.2	1.26	1.24	4.7
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	175271	100101	99131	374503
Class eg:y				
Total	175271	100101	99131	374503
Total as a % of Total Voting rights	2.2	1.26	1.24	4.7
No. Of Shares Underlying Outstanding convertible securities (X)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.2	1.26	1.24	4.7
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	175271	100101	99131	374503



Any Other (specify)

Serial No.	1	2	3	
Category	Bodies Corporate	Clearing Members	Foreign Individuals or NRI	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)	P.P. Packaging Pvt. Ltd.			Click here to go back
PAN (II)	ZZZZZ9999Z			Total
No. of the Shareholders (I)		5	10	15
No. of fully paid up equity shares held (IV)	106760	1501	4089	112350
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	106760	1501	4089	112350
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.34	0.02	0.05	1.41

Number of Voting Rights held in each class of securities (IX)

Class eg: X	106760	1501	4089	112350
Class eg:y				
Total	106760	1501	4089	112350
Total as a % of Total Voting rights	1.34	0.02	0.05	1.41
No. Of Shares Underlying Outstanding convertible securities (X)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.34	0.02	0.05	1.41

Number of Locked in shares (XII)

No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in				



dematerialized form (XIV)	0	1501	2089	3590
---------------------------	---	------	------	------

Company Name	Universal Prime Aluminium Ltd.
Address	
City	
State	
Country	
Director / Authorized Signatory	<u>T. S. Iyer</u> Authorized Signatory.
Date	
Place	

